

Everything you need for Safeguards compliance in one place.

QUICK GUIDE

A Starting Point for
Safeguards Compliance



Safeguards Requirements Checklist

This checklist is intended to help you quickly gauge which Safeguards you have, and which you might need.

Do you run periodic Risk Assessments?

Risk Assessments should evaluate physical, administrative and technical security across the dealership.

Yes No

Have you reviewed your service providers?

Review your service providers to ensure they acceptably protect the customer data you share with them.

Yes No

Have you taken inventory of assets on your network?

Dealerships must take stock of all the devices on their network and understand the risk they pose.

Yes No

Do you have Endpoint Detection and Response (EDR)?

EDR continuously monitors your devices 24/7 and stops cyber threats as they happen. While EDR is the only way to stop threats, this requirement may also be satisfied by a Pen Test and vulnerability scans.

Yes No

Do you have multifactor authentication (MFA) and encryption?

Access to customer information must be gated with MFA and the data encrypted in transit and at rest.

Yes No

Do you conduct simulated phishing?

While not a requirement of the rule, most cyber breaches stem from a phishing attack. Do you train employees by sending fake phishing emails and provide training when they click what they shouldn't?

Yes No

Do all employees take annual Security Awareness Training?

Training should address cyber and information security, and the consequences of a data breach.

Yes No

Do you have a written Information Security Program (WISP)?

Your WISP details all the Safeguards have implemented at your store(s) and must include the following:

- Secure Development Practices
- Safeg Data Disposal Policy
- Change Management Procedures
- Incident Response Plan

Yes No

Do you produce an annual report on security?

Provide executive stakeholders with an annual "state of the union" report detailing the dealership's overall risks, safeguards, security events and remediation plans.

Yes No

Do you perform audits of your Physical Safeguards?

Physical Safeguards includes keeping unauthorized persons away from non-public information that may be stored on your premises.

Yes No

Protect your dealership and mitigate risk.

What makes Mosaic's solution the best?

Mosaic, provides everything you need to satisfy the Safeguards Rule. Built by car lawyers to be both defensible and insurable.



A dedicated human guides setup and provides ongoing support



A la carte: select only the Safeguards you need



Data Protection: We use all the services we offer to ensure that what you get is the best



Trusted: 17+ years providing compliance, 150,000+ users

To get started go to mosaiccs.com/safeguards

How do I get started?

Go to Mosaic's website MosaicCS.com/quote and complete the Safeguards Status Questionnaire online, or download it and return it to responses@MosaicCS.com. Either way, Mosaic will take it from there. The most important thing is to decide to do something about Safeguards compliance and take the first concrete step. This is it.

Mosaic's Solution covers all the requirements of the revised FTC Safeguards Rule and offers a-la-carte options so you can build a program that fits your needs.

Mosaic has over 16 years of experience developing compliance solutions exclusively for the retail automotive industry. In 2022 Mosaic created Mosaic Cyber Security a new company dedicated to providing a compliance solution for the revised FTC Safeguards Rule. Mosaic provides a compliance solution that fulfills all the requirements of the revised Safeguards Rule. To learn more visit mosaiccs.com/safeguards.

Go to MosaicCS.com/quote

STEP 1

Fill out a Safeguards Status Questionnaire.



Fill Out Online

or

Download

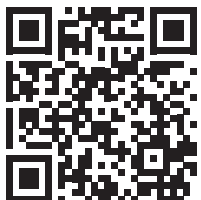
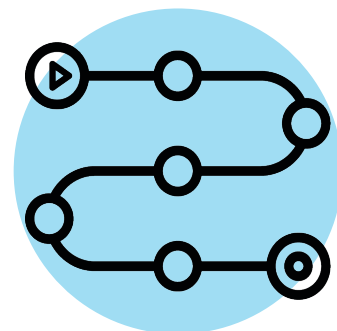
STEP 2

We'll contact you to provide a complimentary consultation based on your responses.



STEP 3

A dedicated Safeguards Specialist will guide you through the process.



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